



Request for Proposal (RFP)

Service Area Plan:

Niland County Sanitation District (County Project No. 7054NCSD)

Requested by:

John A. Gay, PE Director of Public Works

Prepared By:

Janette Govea Lewenthal, MPA Administrative Analyst II

Deadline for Submissions: July 5, 2023 at 4:00 P.M

Imperial County
Department of Public Works
155 S. 11th Street
El Centro, CA 92243

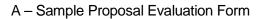
RFP Issued on June 7, 2023

PROPOSALS MUST BE SUBMITTED ON THE SPECIFIED DATE AND TIME. THE COUNTY WILL NOT CONSIDER PROPOSALS RECEIVED AFTER THE DUE DATE. AN AMENDMENT IS CONSIDERED A NEW PROPOSAL AND WILL NOT BE ACCEPTED AFTER THE SPECIFIED DATE AND TIME.

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EXHIBITS



- B Sample Consultant Agreement and Insurance Requirements*

 *No changes shall be made to consultant agreement.
- C Sphere of Influence Map

SPECIAL NOTICE

Notification of Contractor Registration Requirements (where required)

Pursuant to the requirements of California Labor Code section 1771.1, all contractors and subcontractors that wish to engage in public work through a public works contract must be registered with the Department of Industrial Relations (DIR).

Beginning March 1, 2015, no contractor or subcontractor may be listed on a bid proposal for a public works project unless registered with DIR.

Beginning April 1, 2015, no contractor or subcontractor may be awarded a contract for public work on a public works project unless registered with the DIR, pursuant to Labor Code section 1725.5

All contractors, including subcontractors, listed in the proposal must be registered with the DIR at the time proposals are due, and must submit proof of registration with the proposal. Any proposals received listing unregistered contractors and/or subcontractors will be deemed non-responsive.

Application and renewal are completed online with a non-refundable fee of \$400. Read the Public Works Reforms (SB 854) Fact Sheet for requirements. Instructions for completing the form and additional information can be found on the DIR website.

This Project is subject to compliance monitoring and enforcement by the Department of Industrial Relations (DIR).

SOURCES OF INFORMATION

INFORMATION	WEBSITE	
Department of Industrial Relations (Public Works)	http://www.dir.ca.gov/Public-Works/PublicWorks.html	
SB 854 Fact Sheet	http://www.dir.ca.gov/Public- Works/PublicWorksSB854.html	
Senate Bill 854 Compliance	http://www.dir.ca.gov/Public-Works/SB854.html	
Public Works Contractor (PWC) Registration	Contractor Registration (ca.gov)	
Classifications and Minimum Labor Rates	http://www.dir.ca.gov/OPRL/Pwd/	

Request for Proposals for Service Area Plan Niland County Sanitation District (County Project No. 7054NCSD) (here forth known as the "Project")

June 7, 2023

I. PURPOSE AND BACKGROUND

The County of Imperial Department of Public Works is requesting proposals from qualified consultants to prepare one (1) Service Area Plan (also known as a Municipal Service Review) for the County of Imperial for Special District: Niland County Sanitation District. The selected consultant will create a Service Area Plan to reflect current conditions as applicable for compliance with the Cortese-Knox-Hertzber local Government Reorganization Act of 2000.

The County is working in partnership with the Imperial County Local Agency Formation Commission ("LAFCO"). The mandate for LAFCO to conduct service reviews is part of the Cortese-Knox-Hertzber local Government Reorganization Act of 2000 (California Government Code §56000 et seq.) Through the Cortese-Knox-Hertzberg Reorganization Act of 2000 and The LAFCO Policy & Procedure Manual, LAFCO requires a Service Area Plan to be approved prior to approval of a Sphere of Influence amendment and/or annexation. The plan shall provide enough detail for LAFCO to ascertain that there will be sufficient public facilities within the requested Sphere of Influence or annexation.

The County of Imperial Department of Public Works is required by LAFCO to prepare and submit Service Area Plan Updates every five (5) years for the County's Special Districts. The contract will be regulated according to the provisions of all applicable federal, state and local laws and ordinances. This includes compliance with prevailing wage rates, where applicable, and their payment in accordance with California Labor Code Section 1775. The contract is subject to state contract nondiscrimination and compliance requirements pursuant to Government Code Section 12990.

Niland County Sanitation District

In 2018, the Local Agency Formation Commission (LAFCO) voted to dissolve the previous district known as Niland Sanitary District (NSD) and formed the Niland County Sanitation District (NCSD). The purpose of the formation of the NCSD is to acquire, own, operate, and maintain disposal systems, sewers, sewer collection system, drains, and infrastructure for a primary and secondary sewage treatment system with collection lines, in compliance with California laws. The district provides wastewater services to the townsite of Niland. Potable water is provided by the privately owned and operated Golden State Water Company.

II. PROJECT POSTING AND SCHEDULING

This RFP is being distributed over the internet and is posted at the County of Imperial Department of Public Works website at the following address: https://publicworks.imperialcounty.org/ under "Projects out to Bid." Consultants wishing to propose in response to this RFP must obtain this document from our website. The County will maintain a list of RFP holders based on self-identification by interested firms. If you are interested in being added to the RFP holder list, please contact the project manager listed in section VIII. CLOSING ITEMS. The County will make every effort to provide individual notification of amendments or addendums to this RFP to known self-identified firms, but it will be the responsibility of each interested firm in checking the "Projects Out To Bid" webpage for any updates as the Web page will be the official media for dissemination of Addenda.

The County will therefore post any addendums to the RFP on the above mentioned website. All consultants shall refer to the website to verify all addendums that have been issued and that they have acknowledged all such addendums and included signed copies of all in their proposal.

Proposed Schedule of Events

Issue Request for Proposals

Deadline for Written Requests for Clarification

June 20, 2023

Proposals Due

July 5, 2023

Consultant Selection

July 2023

County Awards Contract

August 2023

Notice to Proceed

September 2023

Completion of Service Area Plan for Niland County Sanitation District

June 7, 2023

June 7, 2023

June 20, 2023

Suppose S

III. SCOPE OF WORK

Interested consultants will be responsible for completing a Service Area Plan for Niland County Sanitation District (County Project No. 7054NCSD) with its respective Scope of Work to be considered for the project. Work to be performed must be in accordance with the Cortese-Knox-Hertzberg Local Government Reorganization Act of 2000 and any requirements imposed by the Imperial County Local Agency Formation Commission.

The Service Area Plan shall provide the research and analysis for the service area to enable LAFCO and the County to make a determination regarding the following subjects:

- 1. Growth and population projections for the affected area;
- 2. Present and planned capacity of public facilities and adequacy of public services, including infrastructure needs or deficiencies;
- 3. Financial ability of the County and other local agencies to provide services;
- 4. Status of, and opportunities for, shared facilities;
- 5. Accountability for community service needs, including governmental structure and operational efficiencies;
- 6. Any other matter related to effective or efficient service delivery

An acceptable Service Area Plan provides a detailed description and analysis of how facilities will be provided in the proposed Sphere of Influence. The finished product must meet the approval of LAFCO and serve as a final document. The plan should be divided into six (6) parts; the following sections should be included in the service area plan:

1. Summary

This section should focus on how the facilities will be financed. The conditions proposed by the plan should also be highlighted. A table shall be included in this section that will summarize the various facilities, their costs and anticipated methods of financing.

2. Introduction

This section should provide a background of each Special District, identify the purpose of the Service Area Plan, and identify the contents and organization of the Service Area Plan.

3. Build Out Projections

This section shall include the potential development within the Special District's existing limits along with itemizing existing, developing and future land uses. Anticipated development within the proposed sphere limits along with itemizing future land uses.

4. Phasing Projections

This section shall attempts to project how and when development will be phased. The intent shall be to provide an additional tool that will allow the County to anticipate future public facility needs and to allocate funds for their improvement.

5. Requirements for Public Services

This section shall update the public services section and include a detailed description and analysis identifying when and how each facility will be provided and financed, based upon build out projections and phasing assumptions. The facilities and services that shall be <u>updated</u> and analyzed under this section are as follows where applicable: <u>Administrative Facilities</u>, <u>Drainage Facilities</u>, <u>Fire Facilities</u>, <u>Law Enforcement Facilities</u>, <u>Library Facilities</u>, <u>Parks and Recreational Facilities</u>, <u>Transportation Facilities</u>, <u>Wastewater Treatment and Sewer Facility Capacity</u>, and <u>Water Facilities</u>. The exhibits within each respective Public Service section shall also be updated. Additional exhibits may be incorporated as deemed necessary by the consultant.

6. Financing

This section shall provide a summary of the financial alternatives available to fund each facility and include a discussion of the potential financial impact on the County's finances

7. Appendices

The County shall be furnished with all technical studies used to complete each Service Area Plan.

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Posted to County Webpage June 7, 2023, Proposals Due July 5, 2023 by 4:00 P.M.

IV. RESPONSIBILITIES OF THE COUNTY

- 1. The County will provide management oversight, and conduct administrative arrangements.
- 2. The County will furnish all reasonably available records and information, including financial reports, budgets and consumption data. GIS files are not currently available.
- 3. The County will pay an agreed upon amount normally within 30 days after receipt of an invoice. The County will retain 5% of each invoice until completion of the project. NOTE: Invoicing must be separated by the respective project number and all invoices must detail the respective project number.
- 4. The County will not provide dedicated workspace facilities, but upon request will provide a conference room for meetings with the Consultant.
- 5. The County reserves the right to perform any portion of the scope of work by County personnel or other consultants, should the County determine it would be in the best interest of the County to do so.

V. PROPOSAL CONTENT AND INFORMATION

Proposals should be typed, organized and concise, yet comprehensive. To prevent the spread of the novel coronavirus, proposals for this Request for Proposals will be accepted electronically. Prospective consultants shall submit proposals via email to janettegovea@co.imperial.ca.us with the subject line:

Proposal for Service Area Plan: Niland County Sanitation District

General Requirements

- 1. Provide a cover letter.
- 2. Provide proof of active Department of Industrial Relations registration
- 3. State the interpretation of the work to be performed. State a positive commitment to perform the work in the required manner and time frame; include a basic summary; and demonstrate an understanding of the project. Provide a statement that the offer is valid for at least a ninety (90) day period.
- 4. Provide the name(s) of the primary and/or alternate individuals authorized to respond to this RFP. Include titles, addresses, e-mail, and phone numbers.
- 5. The Consultant is representing itself as a qualified professional in consulting services to complete the Service Area Plan updates as required by the Imperial County Local Agency Formation Commission. Therefore, it is acceptable to submit recommendations and comments for consideration on format, process, schedule, and additional content of projects. The County will consider comments and recommendations; however is not required to select any of the recommendations or comments.

- 6. Expensive bindings, colored displays, promotional materials, etc., are neither necessary nor desired. Emphasis should be concentrated on conformance to the RFP instructions, responsiveness to the RFP requirements, and on completeness and clarity of content.
- 7. If any subcontractors are utilized, the lead Consultant must submit a description of the firm, the portion of work to be done, and cost of each subcontractor. All subcontracts exceeding \$25,000 in cost shall contain all required provisions of the prime contract.
- 8. Consultants must clearly demonstrate ability to correctly separate service area plan updates by special district and respective project number

Table of Contents

Include a table of contents with identification of each section and page number.

Summary of Qualifications and Experience

- 1. State whether the firm is local, regional, national or international.
- 2. Identify the owner(s) of the firm and legal status (sole proprietor, corporation, etc.)
- 3. Give the location of the office from which work is anticipated to be done and the number of employees of the company.
- 4. Identify the qualifications and resumes of all individuals who will be associated with this service. Include professional registrations and affiliations.
- 5. Summarize specific experiences and qualification for similar and related projects, both federally funded and locally funded. Describe the services previously performed such as studies, reports, etc. List at least three (3) references with telephone numbers and email contact addresses (if available).

Analysis of Effort/Methodology

- 1. Describe the approach for how the work will be performed. The proposal shall indicate any specific techniques or methodology to be utilized.
- 2. The proposal shall include a sample project timeline with specific tasks envisioned for this project, including staffing.
- 3. Indicate what participation, data and products will be requested from the County.
- 4. Indicate deliverables to be provided and when.

Cost and Fees

1. Develop costs and fees for the services for the Special District's Service Area Plan. The fee proposals shall be a not to exceed fee based on anticipated fully burdened hourly rates for the work to be

performed. Costs and fees are to be submitted with the proposal in a separate sealed envelope.

- 2. Consider the scope of work and prepare a lump sum fixed fee breakdown based on anticipated staff and hours and apply to the Niland County Sanitation District Service Area Plan project number. Costs should be organized for full time hourly rates. Such hourly rates should be fully burdened or loaded, including full compensation for all overhead and profit. Billing rates shall include provision for normal office costs, including but not limited to office rental, utilities, insurance, cell phone or radio, equipment, normal supplies and materials, in-house reproduction services, and local travel costs. No subcontractors shall be utilized without prior authorization by the County.
- The selected consultant shall submit invoices separating time and costs for each respective project using the corresponding project number. Failure to submit invoices separated by project number will result in delayed processing and payment.

Insurance Requirements

Prior to execution of the agreement with the County, the successful firm must provide evidence of insurance coverages as noted in the sample contract and insurance requirements exhibit. The successful firm will be required to maintain the required coverages, at its sole cost and expense, throughout the entire term and any subsequent modification terms of the contract.

Insurance requirements noted in sample contract and insurance exhibit are based on projected county estimates. Insurance requirements may be adjusted once the final cost and fees proposal is reviewed.

VI. EVALUATION OF PROPOSALS

Sample evaluation criteria for proposals are attached for your information as Exhibit A.

The County will utilize a one-step selection process.

The proposals shall be evaluated by a selection committee, who will determine if proposal objectives are met, and whose evaluation may include interviews of the top three (3) ranked firms submitting qualifying packages. Once the proposals are reviewed and the qualifications considered, recommendations will then be submitted to the County Board of Supervisors for final selection.

The County reserves the right to select any consultant who is determined qualified and may not correlate to a number 1, number 2, or even number 3 originally ranked consultant. The County reserves the right to reject any and all proposals submitted and/or request additional information for clarification.

Proposals shall be submitted no later than Wednesday, July 5, 2023 at 4:00 p.m. in Portable Document Format (PDF) via email to Naomi Robles, Administrative Analyst II, to <u>janettegovea@co.imperial.ca.us</u> with the subject line:

Proposal for Service Area Plan: Niland County Sanitation District

Clarification desired by a respondent relating to definition or interpretation shall be requested in writing with sufficient time to allow for a response and no less than seventy-two hours prior to the time proposals are due. Oral explanation or instructions shall not be considered binding on behalf of the County. Any modifications to this solicitation will be issued by the County as a written addendum. Addenda will be

posted on the County website. It will be Consultant's responsibility to check the website for addenda and submit the signed acknowledgement with the proposal.

Questions concerning the proposal should be directed to Janette Govea, Administrative Analyst II, with the County of Imperial Department of Public Works at (442) 265-1818 or via electronic mail to no later than Wednesday, July 5, 2023 at 4:00 p.m.

The County will not consider proposals received after the specified date and time. An amendment is considered a new proposal and will not be accepted after the specified date and time. The County will not accept requests for changes to the terms in the Sample Agreement included in Attachment B of the RFP.

This RFP does not commit the County of Imperial to award a contract or pay any costs associated with the preparation of a proposal. The County reserves the right to cancel, in part or in its entirety, this solicitation should this be in the best interest of the County. The County will not accept requests for changes to the terms in the Sample Agreement included in Attachment B of the RFP.

VII. DELIVERABLES

The selected consultant will be required to submit the following documents to the County for review:

- 1. Word document for DRAFT Service Area Plan for each Special District inclusive of all corresponding exhibits
- 2. PDF document for FINAL Service Area Plan for each Special District inclusive of all corresponding exhibits;
- 3. Two (2) sets of original, finalized Service Area Plan for each Special District printed and bound in color
- 4. Final documents of the Service Area Plan. Final Service Area Plan submittal shall include a 2010 Microsoft Word copy (".docx") of the Service Area Plan and all exhibits included in the Service Area Plan shall be provided in ArcGIS or AutoCadd format.

Upon the County's review of each Special District's Final Service Area Plan, each plan will be submitted to LAFCO for review and approval. Each Final Service Area Plan approved by LAFCO will serve as a final document to the County of Imperial.





Comments:

PROPOSAL EVALUATION FORM

COUNTY OF IMPERIAL DEPARTMENT OF PUBLIC WORKS
Request for Proposals for Service Area Plan
Niland County Sanitation District (County Project No. 7054NCSD)

Prepared June 6, 2023					
DATE:					RATING POINTS:
EVALUATOR:					5 = excellent
RESPONDENT:					4 = good 3 = above average
PROJECT:					2 = average 1 = below average 0 = unsatisfactory
CRITERIA WEIG	SHT FACTOR	X	RATING		WEIGHTED RATING
A. Technical Approach					
Responsiveness & understanding	(0.20)				
 of work to be done, i.e. scope of work Specific experience with similar water & wastewater rate analyses and engineering studies for municipal or government agencies 	(0.20)				
B. Project Management					
 Capacity to perform the scope of work and the ability to complete the project in a timely manner 	(0.20)				
C. References	(0.10)				
D. Qualifications and training for this type of work	(0.15)				
Overall quality of proposal, including qualifications and thoroughness	(0.15)				
qualifications and thoroughness			Subtotal S	Score	
Previous experience and performance working With Imperial County Department of Public Work Projects	s				(0 to -5)
NOTE: Good previous experience and no previous experience will constitute a score of zero. Negative experience points will be deducted from the overall score.					
			Total Sco	ore	

1	AGREEMENT FOR SERVICES
2	«Consultant_Business_Name»
3	THIS AGREEMENT FOR SERVICES ("Agreement"), made and entered into effective the
4	day of, 2015, by and between the County of Imperial, a political subdivision of
5	the State of California, by and through its Department of Public Works ("COUNTY") and
6	«Consultant_Business_Name», «Consultant_Business_Type» ("CONSULTANT") (individually,
7	"Party;" collectively, "Parties").
8	RECITALS
9	WHEREAS, COUNTY desires to retain a qualified individual, firm or business entity to provide
10	«Contract_Services» for «Project_Name»; «Project_Number» ("Project"); and
11	WHEREAS, CONSULTANT represents that it is qualified and experienced to perform the
12	services; and
13	WHEREAS, COUNTY desires to engage CONSULTANT to provide services by reason of its
14	qualifications and experience for performing such services, and CONSULTANT has offered to provide
15	the required services for the Project on the terms and in the manner set forth herein.
16	NOW, THEREFORE, in consideration of their mutual covenants, COUNTY and
17	CONSULTANT have and hereby agree to the following:
18	1. <u>DEFINITIONS</u> .
19	1.1. "Request for Proposal" or "RFP" shall mean that document that describes the Project and
20	project requirements to prospective bidders entitled, "«Name_of_RFP»," dated «Date_of_RFP». The
21	Request for Proposal is attached hereto as Exhibit "A" and incorporated herein by this reference.
22	1.2. "Proposal" shall mean CONSULTANT's document entitled, "«Name_of_Proposal»,"
23	dated «Date_of_Proposal» and submitted to COUNTY's Department of Public Works. The Proposal is
24	attached hereto as Exhibit "B" and incorporated herein by reference.
25	2. <u>CONTRACT COORDINATION</u> .
26	2.1. The Director of Public Works or his/her designee shall be the representative of
27	COUNTY for all purposes under this Agreement. The Director of Public Works or his/her designee is
28	hereby designated as the Contract Manager for COUNTY. He/she shall supervise the progress and

execution of this Agreement.

2.2. CONSULTANT shall assign a single Contract Manager to have overall responsibility for the progress and execution of this Agreement. Should circumstances or conditions subsequent to the execution of this Agreement require a substitute Contract Manager for any reason, the Contract Manager designee shall be subject to the prior written acceptance and approval of COUNTY's Contract Manager.

3. **DESCRIPTION OF WORK.**

CONSULTANT shall provide all materials and labor to perform this Agreement consistent with the RFP and the Proposal, as set forth in **Exhibits "A" and "B."** In the event of a conflict amongst this Agreement, the RFP, and the Proposal, the RFP shall take precedence over the Proposal and this Agreement shall take precedence over both.

4. WORK TO BE PERFORMED BY CONSULTANT.

- **4.1.** CONSULTANT shall comply with all terms, conditions and requirements of the Proposal and this Agreement.
- **4.2.** CONSULTANT shall perform such other tasks as necessary and proper for the full performance of the obligations assumed by CONSULTANT hereunder.

4.3. CONSULTANT shall:

- **4.3.1.** Procure all permits and licenses, pay all charges and fees, and give all notices that may be necessary and incidental to the due and lawful prosecution of the services to be performed by CONSULTANT under this agreement;
- **4.3.2.** Keep itself fully informed of all existing and proposed federal, state and local laws, ordinances, regulations, orders and decrees which may affect those engaged or employed under this Agreement;
- **4.3.3.** At all times observe and comply with, and cause all of its employees to observe and comply with all of said laws, ordinances, regulations, orders and decrees mentioned above; and
- **4.3.4.** Immediately report to COUNTY's Contract Manager in writing any discrepancy or inconsistency it discovers in said laws, ordinances, regulations, orders and decrees mentioned above in relation to any plans, drawings, specifications or provisions of this Agreement.

5. REPRESENTATIONS BY CONSULTANT.

- **5.1.** CONSULTANT understands and agrees that COUNTY has limited knowledge in the multiple areas specified in the Proposal. CONSULTANT has represented itself to be an expert in these fields and understands that COUNTY is relying upon such representation.
- **5.2.** CONSULTANT represents and warrants that it is a lawful entity possessing all required licenses and authorities to do business in the State of California and perform all aspects of this Agreement.
- **5.3.** CONSULTANT shall not commence any work under this Agreement or provide any other services, or materials, in connection therewith until CONSULTANT has received written authorization from COUNTY's Contract manager to do so.
- **5.4.** CONSULTANT represents and warrants that the people executing this Agreement on behalf of CONSULTANT have the authority of CONSULTANT to sign this Agreement and bind CONSULTANT to the performance of all duties and obligations assumed by CONSULTANT herein.
- **5.5.** CONSULTANT represents and warrants that any employee, contractor and/or agent who will be performing any of the duties and obligations of CONSULTANT herein possess all required licenses and authorities, as well as the experience and training, to perform such tasks.
- **5.6.** CONSULTANT represents and warrants that the allegations contained in the Proposal are true and correct.
- **5.7.** CONSULTANT understands that COUNTY considers the representations made herein to be material and would not enter into this Agreement with CONSULTANT if such representations were not made.
- **5.8.** CONSULTANT understands and agrees not to discuss this Agreement or work performed pursuant to this Agreement with anyone not a party to this Agreement without the prior permission of COUNTY. CONSULTANT further agrees to immediately advise COUNTY of any contacts or inquiries made by anyone not a party to this Agreement with respect to work performed pursuant to this Agreement.
- **5.9.** Prior to accepting any work under this Agreement, CONSULTANT shall perform a due diligence review of its files and advise COUNTY of any conflict or potential conflict CONSULTANT may have with respect to the work requested.

5.10. CONSULTANT understands and agrees that in the course of performance of this Agreement CONSULTANT may be provided with information or data considered by the owner or the COUNTY to be confidential. COUNTY shall clearly identify such information and/or data as confidential. CONSULTANT shall take all necessary steps necessary to maintain such confidentiality including but not limited to restricting the dissemination of all material received to those required to have such data in order for CONSULTANT to perform under this Agreement.

5.11. CONSULTANT represents that the personnel dedicated to this project as identified in CONSULTANT's Proposal, will be the people to perform the tasks identified therein. CONSULTANT will not substitute other personnel or engage any contractors to work on any tasks identified herein without prior written notice to COUNTY.

TERM OF AGREEMENT.

This Agreement shall commence on the date first written above and shall remain in effect until the services provided as outlined in Paragraph 3, ("DESCRIPTION OF WORK"), have been completed, unless otherwise terminated as provided for in this Agreement.

7. <u>COMPENSATION</u>.

- **7.1.** The total compensation payable under this Agreement shall not exceed **«Cost_of_Original_Contract»**, unless otherwise previously agreed to in writing by COUNTY.
- **7.2.** The fee for any additional services required by COUNTY will be computed either on a negotiated lump sum basis or upon actual hours and expenses incurred by CONSULTANT and based on CONSULTANT's current standard rates as set forth in the Proposal. Additional services or costs will not be paid without a prior written agreement between the Parties.
- **7.3.** Except as provided under paragraph 7.1 and 7.2, COUNTY shall not be responsible to pay CONSULTANT any compensation, out of pocket expenses, fees, reimbursement of expenses or other remuneration.

PAYMENT.

8.1. CONSULTANT shall bill COUNTY on a time and material basis as set forth in **Exhibit** "A." COUNTY shall pay CONSULTANT for completed and approved services upon presentation of its itemized billing.

8.2. COUNTY shall have the right to retain five percent (5%) of the total of amount of each invoice, not to exceed five percent (5%) of the total compensation amount of the completed project. "Completion of the Project" is when the work to be performed has been completed in accordance with this Agreement, as determined by COUNTY, and all subcontractors, if any, have been paid in full by CONSULTANT. Upon completion of the Project CONSULTANT shall bill COUNTY the retention for payment by COUNTY.

9. <u>METHOD OF PAYMENT</u>.

CONSULTANT shall at any time prior to the fifteenth (15th) day of any month, submit to COUNTY a written claim for compensation for services performed. The claim shall be in a format approved by COUNTY. No payment shall be made by COUNTY prior to the claims being approved in writing by COUNTY's Contract Manager or his/her designee. CONSULTANT may expect to receive payment within a reasonable time thereafter and in any event in the normal course of business within thirty (30) days after the claim is submitted.

10. <u>TIME FOR COMPLETION OF THE WORK.</u>

The Parties agree that time is of the essence in the performance of this Agreement. Program scheduling shall be as described in Exhibits unless revisions are approved by both COUNTY's Contract Manager and CONSULTANT's Contract Manager. Time extensions may be allowed for delays caused by COUNTY, other governmental agencies or factors not directly brought about by the negligence or lack of due care on the part of CONSULTANT.

11. MAINTENANCE AND ACCESS OF BOOKS AND RECORDS.

CONSULTANT shall maintain books, records, documents, reports and other materials developed under this Agreement as follows:

- 11.1. CONSULTANT shall maintain all ledgers, books of accounts, invoices, vouchers, canceled checks, and other records relating to CONSULTANT's charges for services or expenditures and disbursements charged to COUNTY for a minimum period of three (3) years, or for any longer period required by law, from the date of final payment to CONSULTANT pursuant to this Agreement.
- 11.2. CONSULTANT shall maintain all reports, documents, and records, which demonstrate performance under this Agreement for a minimum period of five (5) years, or for any longer period

required by law, from the date of termination or completion of this Agreement.

11.3. Any records or documents required to be maintained by CONSULTANT pursuant to this Agreement shall be made available to COUNTY for inspection or audit at any time during CONSULTANT's regular business hours provided that COUNTY provides CONSULTANT with seven (7) days advanced written or e-mail notice. Copies of such documents shall, at no cost to COUNTY, be provided to COUNTY for inspection at CONSULTANT's address indicated for receipt of notices under this Agreement.

12. SUSPENSION OF AGREEMENT.

COUNTY's Contract Manager shall have the authority to suspend this Agreement, in whole or in part, for such period as deemed necessary due to unfavorable conditions or to the failure on the part of CONSULTANT to perform any provision of this Agreement. CONSULTANT will be paid the compensation due and payable to the date of suspension.

13. <u>TERMINATION</u>.

COUNTY retains the right to terminate this Agreement for any reason by notifying CONSULTANT in writing twenty (20) days prior to termination and by paying the compensation due and payable to the date of termination; provided, however, if this Agreement is terminated for fault of CONSULTANT, COUNTY shall be obligated to compensate CONSULTANT only for that portion of CONSULTANT's services which are of benefit to COUNTY. Said compensation is to be arrived at by mutual agreement between COUNTY and CONSULTANT; should the parties fail to agree on said compensation, an independent arbitrator shall be appointed and the decision of the arbitrator shall be binding upon the parties.

14. <u>INSPECTION</u>.

CONSULTANT shall furnish COUNTY with every reasonable opportunity for COUNTY to ascertain that the services of CONSULTANT are being performed in accordance with the requirements and intentions of this Agreement. All work done and materials furnished, if any, shall be subject to COUNTY's Contract Manager's inspection and approval. The inspection of such work shall not relieve CONSULTANT of any of its obligations to fulfill its Agreement as prescribed.

15. OWNERSHIP OF MATERIALS.

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and other materials given to or prepared or assembled by or in the possession of CONSULTANT pursuant to this Agreement shall become the permanent property of COUNTY and shall be delivered to COUNTY upon demand, whether or not completed, and shall not be made available to any individual or organization without the prior written approval of COUNTY.

All original drawings, videotapes, studies, sketches, computations, reports, information, data

16. INTEREST OF CONSULTANT.

- **16.1.** CONSULTANT covenants that it presently has no interest, and shall not acquire any interest, direct or indirect, financial or otherwise, which would conflict in any manner or degree with the performance of the services hereunder.
- 16.2. CONSULTANT covenants that, in the performance of this Agreement, no subcontractor or person having such an interest shall be employed.
- **16.3.** CONSULTANT certifies that no one who has or will have any financial interest under this Agreement is an officer or employee of COUNTY.

17. INDEMNIFICATION.

- 17.1. CONSULTANT agrees to the fullest extent permitted by law to indemnify, defend, protect and hold COUNTY and its representatives, officers, directors, designees, employees, successors and assigns harmless from any and all claims, expenses, liabilities, losses, causes of actions, demands, losses, penalties, attorneys' fees and costs, in law or equity, of every kind and nature whatsoever arising out of or in connection with CONSULTANT's negligent acts and omissions or willful misconduct under this Agreement ("Claims"), whether or not arising from the passive negligence of COUNTY, but does not include Claims that are the result of the negligence or willful misconduct of COUNTY.
- **17.2.** CONSULTANT agrees to defend with counsel acceptable to COUNTY, indemnify and hold COUNTY harmless from all Claims, including but not limited to:
- 17.2.1. Personal injury, including but not limited to bodily injury, emotional injury, sickness or disease or death to persons including but not limited to COUNTY's representatives, officers, directors, designees, employees, agents, successors and assigns, subcontractors and other third parties and/or damage to property of anyone (including loss of use thereof) arising out of

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CONSULTANT's negligent performance of, or willful misconduct surrounding, any of the terms contained in this Agreement, or anyone directly or indirectly employed by CONSULTANT or anyone for whose acts CONSULTANT may be liable;

- 17.2.2. Liability arising from injuries to CONSULTANT and/or of CONSULTANT's employees or agents arising out of CONSULTANT's negligent performance of, or willful misconduct surrounding, any of the terms contained in this Agreement, or anyone directly or indirectly employed by CONSULTANT or anyone for whose acts CONSULTANT may be liable;
- 17.2.3. Penalties imposed upon account of the violation of any law, order, citation, rule, regulation, standard, ordinance or statute caused by the negligent action or inaction, or willful misconduct of CONSULTANT or anyone directly or indirectly employed by CONSULTANT or anyone for whose acts CONSULTANT may be liable;
- 17.2.4. Infringement of any patent rights which may be brought against COUNTY arising out of CONSULTANT's work;
- 17.2.5. Any violation or infraction by CONSULTANT of any law, order, citation, rule, regulation, standard, ordinance or statute in any way relating to the occupational health or safety of employees; and
- 17.2.6. Any breach by CONSULTANT of the terms, requirements or covenants of this Agreement.
- 17.3. These indemnification provisions shall extend to Claims occurring after this Agreement is terminated, as well as while it is in force.

18. **INDEPENDENT CONTRACTOR.**

In all situations and circumstances arising out of the terms and conditions of this Agreement, CONSULTANT is an independent contractor, and as an independent contractor, the following shall apply:

- **18.1.** CONSULTANT is not an employee or agent of COUNTY and is only responsible for the requirements and results specified by this Agreement or any other agreement.
- **18.2.** CONSULTANT shall be responsible to COUNTY only for the requirements and results specified by this Agreement and except as specifically provided in this Agreement, shall not be subject

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26 27 28 to COUNTY's control with respect to the physical actions or activities of CONSULTANT in fulfillment of the requirements of this Agreement.

- **18.3.** CONSULTANT is not, and shall not be, entitled to receive from, or through, COUNTY, and COUNTY shall not provide, or be obligated to provide, CONSULTANT with Workers' Compensation coverage or any other type of employment or worker insurance or benefit coverage required or provided by any Federal, State or local law or regulation for, or normally afforded to, an employee of COUNTY.
- **18.4.** CONSULTANT shall not be entitled to have COUNTY withhold or pay, and COUNTY shall not withhold or pay, on behalf of CONSULTANT, any tax or money relating to the Social Security Old Age Pension Program, Social Security Disability Program, or any other type of pension, annuity, or disability program required or provided by any Federal, State or local law or regulation.
- **18.5.** CONSULTANT shall not be entitled to participate in, nor receive any benefit from, or make any claim against any COUNTY fringe program, including, but not limited to, COUNTY's pension plan, medical and health care plan, dental plan, life insurance plan, or any other type of benefit program, plan, or coverage designated for, provided to, or offered to COUNTY's employees.
- **18.6.** COUNTY shall not withhold or pay, on behalf of CONSULTANT, any Federal, State, or local tax, including, but not limited to, any personal income tax, owed by CONSULTANT.
- **18.7.** CONSULTANT is, and at all times during the term of this Agreement, shall represent and conduct itself as an independent contractor, not as an employee of COUNTY.
- **18.8.** CONSULTANT shall not have the authority, express or implied, to act on behalf of, bind or obligate COUNTY in any way without the written consent of COUNTY.

19. **INSURANCE**.

19.1. CONSULTANT hereby agrees at its own cost and expense to procure and maintain, during the entire term of this Agreement and any extended term therefore, insurance in a sum acceptable to COUNTY and adequate to cover potential liabilities arising in connection with the performance of this Agreement and in any event not less than the minimum limit set forth in the "Minimum Insurance Amounts" attachment to the Plans and Specifications (Exhibit A) which are incorporated as if set forth fully herein.

19.2. Special Insurance Requirements. All insurance required shall:

- **19.2.1.** Be procured from California admitted insurers (licensed to do business in California) with a current rating by Best's Key Rating Guide, acceptable to COUNTY. A rating of at least A-VII shall be acceptable to COUNTY; lesser ratings must be approved in writing by COUNTY.
- **19.2.2.** Be primary coverage as respects COUNTY and any insurance or self-insurance maintained by COUNTY shall be in excess of CONSULTANT's insurance coverage and shall not contribute to it.
- 19.2.3. Name The Imperial County Department of Public Works and the County of Imperial and their officers, employees, and volunteers as additional insured on all policies, except Workers' Compensation insurance and Errors & Omissions insurance, and provide that COUNTY may recover for any loss suffered by COUNTY due to CONSULTANT's negligence.
- **19.2.4.** State that it is primary insurance and regards COUNTY as an additional insured and contains a cross-liability or severability of interest clause.
- 19.2.5. Not be canceled, non-renewed or reduced in scope of coverage until after thirty (30) days written notice has been given to COUNTY. CONSULTANT may not terminate such coverage until it provides COUNTY with proof that equal or better insurance has been secured and is in place. Cancellation or change without prior written consent of COUNTY shall, at the option of COUNTY, be grounds for termination of this Agreement.
- **19.2.6.** If this Agreement remains in effect more than one (1) year from the date of its original execution, COUNTY may, at its sole discretion, require an increase to liability insurance to the level then customary in similar COUNTY Agreements by giving sixty (60) days notice to CONSULTANT.

19.3. Additional Insurance Requirements.

- **19.3.1.** COUNTY is to be notified immediately of all insurance claims. COUNTY is also to be notified if any aggregate insurance limit is exceeded.
- **19.3.2.** The comprehensive or commercial general liability shall contain a provision of endorsements stating that such insurance:

- **a.** Includes contractual liability;
- **b.** Does not contain any exclusions as to loss or damage to property caused by explosion or resulting from collapse of buildings or structures or damage to property underground, commonly referred to by insurers as the "XCU Hazards;"
- **c.** Does not contain a "pro rata" provision which looks to limit the insurer's liability to the total proportion that its policy limits bear to the total coverage available to the insured;
- **d.** Does not contain an "excess only" clause which require the exhaustion of other insurance prior to providing coverage;
- **e.** Does not contain an "escape clause" which extinguishes the insurer's liability if the loss is covered by other insurance;
 - **f.** Includes COUNTY as an additional insured.
- **g.** States that it is primary insurance and regards COUNTY as an additional insured and contains a cross-liability or severability of interest clause.
- **19.4.** Deposit of Insurance Policy. Promptly on issuance, reissuance, or renewal of any insurance policy required by this Agreement, CONSULTANT shall, if requested by COUNTY, provide COUNTY satisfactory evidence that insurance policy premiums have been paid together with a duplicate copy of the policy or a certificate evidencing the policy and executed by the insurance company issuing the policy or its authorized agent.

19.5. Certificates of Insurance.

CONSULTANT agrees to provide COUNTY with the following insurance documents on or before the effective date of this Agreement:

- **19.5.1.** Complete copies of certificates of insurance for all required coverages including additional insured endorsements shall be attached hereto as **Exhibit "C"** and incorporated herein.
 - **19.5.2.** The documents enumerated in this Paragraph shall be sent to the following:

County of Imperial Risk Management Department 940 Main Street, Suite 101 El Centro, CA 92243

County of Imperial Department of Public Works 155 South 11th Street El Centro, CA 92243

19.6. Additional Insurance. Nothing in this, or any other provision of this Agreement, shall be construed to preclude CONSULTANT from obtaining and maintaining any additional insurance policies in addition to those required pursuant to this Agreement.

20. PREVAILING WAGE.

- **20.1.** CONSULTANT acknowledges that any work that qualifies as a "public work" within the meaning of California Labor Code section 1720 shall cause CONSULTANT, and its subconsultants, to comply with the provisions of California Labor Code sections 1775 et seq.
- **20.2.** When applicable, copies of the prevailing rate of per diem wages shall be on file at COUNTY's Department of Public Works and available to CONSULTANT and any other interested party upon request. CONSULTANT shall post copies of the prevailing wage rate of per diem wages at the Project site.
 - **20.3.** CONSULTANT hereby acknowledges and stipulates to the following:
- **20.3.1.** CONSULTANT has reviewed and agrees to comply with the provisions of Labor Code section 1776 regarding retention and inspection of payroll records and noncompliance penalties; and
- **20.3.2.** CONSULTANT has reviewed and agrees to comply with the provisions of Labor Code section 1777.5 regarding employment of registered apprentices; and
- **20.3.3.** CONSULTANT has reviewed and agrees to comply with the provisions of Labor Code section 1810 regarding the legal day's work; and
- **20.3.4.** CONSULTANT has reviewed and agrees to comply with the provisions of Labor Code section 1813 regarding forfeiture for violations of the maximum hours per day and per week provisions contained in the same chapter.
- **20.3.5** CONSULTANT has reviewed and agrees to comply with any applicable provisions for those Projects subject to Department of Industrial Relations (DIR) Monitoring and Enforcement of prevailing wages. COUNTY hereby notifies CONSULTANT that CONSULTANT is

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responsible for complying with the requirements of Senate Bill 854 (SB854) regarding certified payroll record reporting. Further information concerning the requirements of SB854 is available on the DIR website located at: http://www.dir.ca.gov/Public-Works/PublicWorksEnforcement.html.

21. WORKERS' COMPENSATION CERTIFICATION.

- 21.1. Prior to the commencement of work, CONSULTANT shall sign and file with COUNTY the following certification: "I am aware of the provisions of California Labor Code §§3700 et seq. which require every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with the provisions of that code, and I will comply with such provisions before commencing the performance of the work of this contract."
- 21.2. This certification is included in this Agreement and signature of the Agreement shall constitute signing and filing of the certificate.
- 21.3. CONSULTANT understands and agrees that any and all employees, regardless of hire date, shall be covered by Workers' Compensation pursuant to statutory requirements prior to beginning work on the Project.
 - **21.4.** If CONSULTANT has no employees, initial here: ______.

22. ASSIGNMENT.

Neither this Agreement nor any duties or obligations hereunder shall be assignable by CONSULTANT without the prior written consent of COUNTY. CONSULTANT may employ other specialists to perform services as required with prior approval by COUNTY.

23. NON-DISCRIMINATION.

During the performance of this Agreement, CONSULTANT and its subcontractors shall not unlawfully discriminate, harass or allow harassment against any employee or applicant for employment because of sex, race, color, ancestry, religious creed, national origin, physical disability (including HIV and AIDS), mental disability, medical condition (cancer), age (over forty (40)), marital status and denial of family care leave. CONSULTANT and its subcontractors shall insure that the evaluation and treatment of their employees and applicants for employment are free from such discrimination and harassment. CONSULTANT and its subcontractors shall comply with the provisions of the Fair Employment and Housing Act (Gov. Code §12990 (a-f) et seq.) and the

1 applicable regulations promulgated thereunder (California Code of Regulations, Title 2, §7285 et seq.). 2 The applicable regulations of the Fair Employment and Housing Commission implementing 3 Government Code §12990 (a-f), set forth in Chapter 5 of Division 4 of Title 2 of the California Code 4 of Regulations, are incorporated into this Agreement by reference and made a part hereof as if set forth 5 in full. The applicable regulations of §504 of the Rehabilitation Act of 1973 (29 U.S.C. §794 (a)) are incorporated into this Agreement by reference and made a part hereof as if set forth in full. 6 7 CONSULTANT and its subconsultants shall give written notice of their obligations under this clause 8 to labor organizations with which they have a collective bargaining or other agreement. 9 CONSULTANT shall include the nondiscrimination and compliance provisions of this clause in all 10 subcontracts to perform work under this Agreement.

24. NOTICES AND REPORTS.

24.1. Any notice and reports under this Agreement shall be in writing and may be given by personal delivery or by mailing by certified mail, addressed as follows:

COUNTY

CONSULTANT

Director of Public Works 155 South 11th Street El Centro, CA 92243

«Consultant_Business_Name» «Consultant_Street_Address» «Consultant_City_State»

County of Imperial Clerk of the Board of Supervisors 940 W. Main Street, Suite 209 El Centro, CA 92243

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24.2. Notice shall be deemed to have been delivered only upon receipt by the Party, seventy-two (72) hours after deposit in the United States mail or twenty-four (24) hours after deposit with an overnight carrier.

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24.3. The addressees and addresses for purposes of this paragraph may be changed to any other addressee and address by giving written notice of such change. Unless and until written notice of change of addressee and/or address is delivered in the manner provided in this paragraph, the addressee and address set forth in this Agreement shall continue in effect for all purposes hereunder.

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25. ENTIRE AGREEMENT.

This Agreement contains the entire Agreement between COUNTY and CONSULTANT

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oral.

26. MODIFICATION.

PARTIAL INVALIDITY.

impaired or invalidated in any way.

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unless the same is in writing and signed by both Parties.

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27. **CAPTIONS**.

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describe or limit the scope or the intent of this Agreement or any of the terms thereof.

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Agreement.

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CHOICE OF LAW.

WAIVER.

the same or any other covenant or condition.

This Agreement shall be governed by the laws of the State of California. This Agreement is

PW «AR Number»

relating to the transactions contemplated hereby and supersedes all prior or contemporaneous

agreements, understandings, provisions, negotiations, representations, or statements, either written or

No modification, waiver, amendment, discharge, or change of this Agreement shall be valid

Captions in this Agreement are inserted for convenience of reference only and do not define,

If any provision in this Agreement is held by a court of competent jurisdiction to be invalid,

As used in this Agreement and whenever required by the context thereof, each number, both

void, or unenforceable, the remaining provisions will nevertheless continue in full force without being

singular and plural, shall include all numbers, and each gender shall include a gender.

CONSULTANT as used in this Agreement or in any other document referred to in or made a part of

this Agreement shall likewise include the singular and the plural, a corporation, a partnership,

individual, firm or person acting in any fiduciary capacity as executor, administrator, trustee or in any

other representative capacity or any other entity. All covenants herein contained on the part of

CONSULTANT shall be joint and several if more than one person, firm or entity executes the

construed to be a waiver of any other breach or to be a consent to any further or succeeding breach of

No waiver of any breach or of any of the covenants or conditions of this Agreement shall be

GENDER AND INTERPRETATION OF TERMS AND PROVISIONS.

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made and entered into in Imperial County, California. Any action brought by either party with respect to this agreement shall be brought in a court of competent jurisdiction within said County.

32. AUTHORITY.

- 32.1. Each individual executing this Agreement on behalf of CONSULTANT represents and warrants that:
- 32.1.1. He/She is duly authorized to execute and deliver this Agreement on behalf of CONSULTANT:
- 32.1.2. Such execution and delivery is in accordance with the terms of the Articles of Incorporation or Partnership, any by-laws or Resolutions of CONSULTANT and;
 - 32.1.3. This Agreement is binding upon CONSULTANT accordance with its terms.
- 32.2. CONSULTANT shall deliver to COUNTY evidence acceptable to COUNTY of the foregoing within thirty (30) days of execution of this Agreement.

33. **COUNTERPARTS**.

This Agreement (as well as any amendments hereto) may be executed in any number of counterparts, each of which when executed shall be an original, and all of which together shall constitute one and the same Agreement. No counterparts shall be effective until all Parties have executed a counterpart hereof.

34. **REVIEW OF AGREEMENT TERMS.**

- **34.1.** Each Party has received independent legal advice from its attorneys with respect to the advisability of making the representations, warranties, covenants and agreements provided for herein, and with respect to the advisability of executing this Agreement.
 - **34.2.** Each Party represents and warrants to and covenants with the other Party that:
- 34.2.1. This Agreement in its reduction to final written form is a result of extensive good faith negotiations between the Parties and/or their respective legal counsel;
- 34.2.2. The Parties and their legal counsel have carefully reviewed and examined this Agreement for execution by said Parties; and
- **34.3.** Any statute or rule of construction that ambiguities are to be resolved against the drafting party shall not be employed in the interpretation of this Agreement.

1	1 35. <u>NON-APPROPRIATION</u> .				
2	This Agreement is based upon the availability of public funding. In the event that public funds				
3	are unavailable and not appropriated for the performance of the services set forth in this Agreement,				
4	4 the Agreement shall be terminated without penalty after written notice to CONSULTANT	of the			
5	unavailability and/or non-appropriation of funds.				
6	IN WITNESS WHEREOF, the Parties have executed this Agreement on the day and year first				
7	7 above written.				
8	8				
9	County of Imperial «Consultant_Business_Name»				
10					
11		_			
12	Ryan E. Kelley, Chairman «Consultant_Name_for_Signature» Imperial County Board of Supervisors				
13					
14	4 ATTEST:				
15	5				
16	6 Blanca Acosta, Clerk of the Board,				
17	County of Imperial, State of California				
18	8				
19	9 APPROVED AS TO FORM:				
20	Kathernie Turner,				
21					
22	Bv.				
23	3 «CC_Attorney»,				
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25					
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28	$8 \parallel$				

MINIMUM INSURANCE AMOUNTS

Consultant contract (Agreement for Services) form and content is included.

<u>Insurance Minimum Amounts</u> *

(Owned, hired & non-owned vehicles)

Bodily Injury

Property Damage

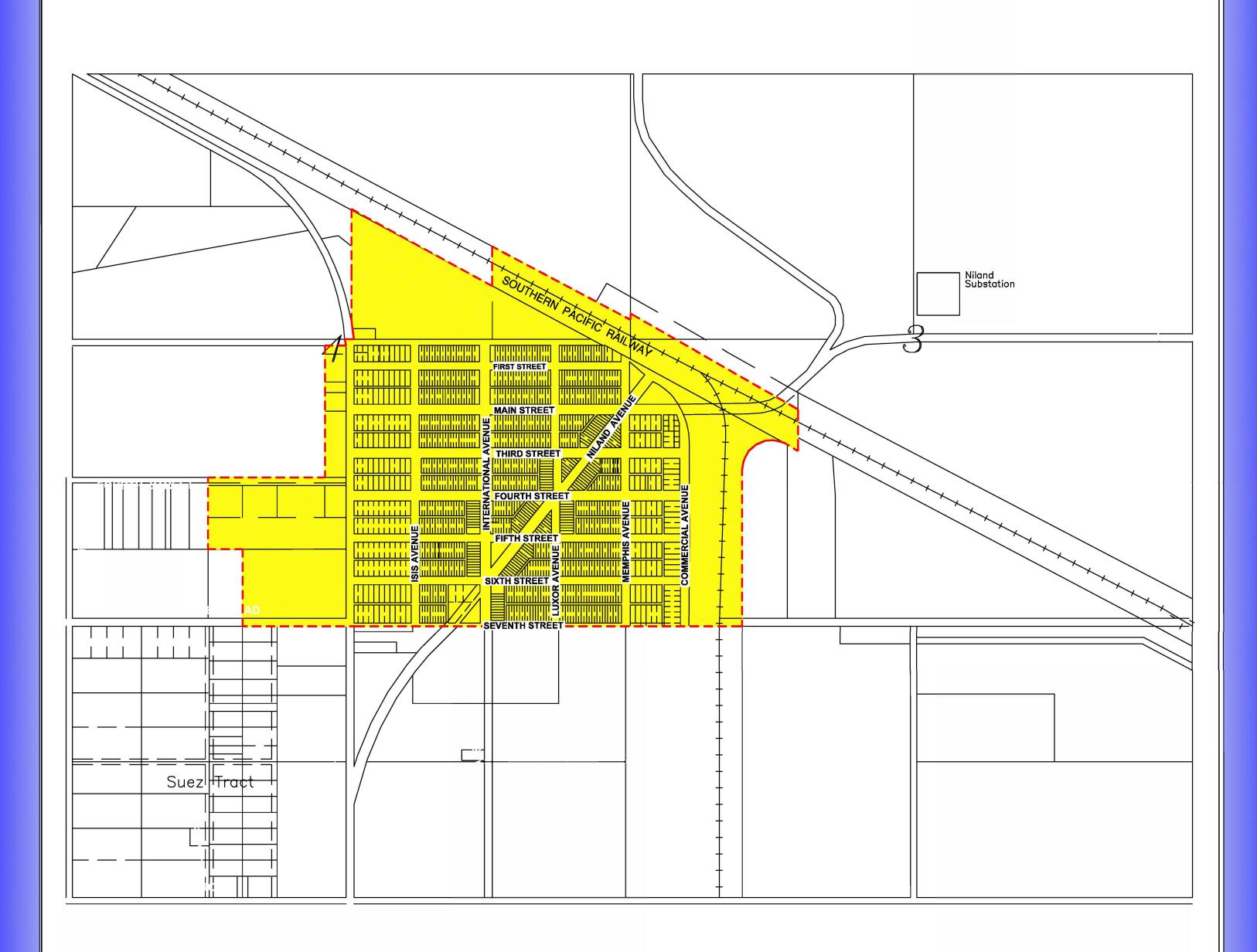
<u>Insurance</u>	Minimum Limit *
Errors & Omissions/Professional Liability	\$2 million per occurrence
Workers Compensation, Coverage A	Statutory
Employers Liability, Coverage B	\$1 million
Commercial General Liability	
(Including Contractual Liability):	
Bodily Injury	\$1 million per occurrence \$2 million aggregate
Property Damage	\$1 million per occurrence \$2 million aggregate
Comprehensive Automobile Liability	

An endorsement covering any explosion collapse and underground exposures, "XCU", in the Commercial General Liability policy is also required.

\$1 million per occurrence

\$1 million per occurrence

^{*}Minimums subject to additional review after Consultant is selected.



Niland County Sanitation District

Imperial Local Agency Formation Commission 1122 W. State St., Suite D El Centro, CA 92243

Formation: September 1, 2018 Boundaries as of: September 1, 2018



EXHIBIT 23